









Choose any 2 of the 3 problems. If you attempt all three, only questions one and two will be graded. Please show all work.

Full name: \_\_\_\_\_

Net ID: \_\_\_\_\_

**Question 1) (20 points)** For each function below with input argument  $n$ , determine the asymptotic number of “basic operations” that will be executed. Justify your answer for each case. **Note:** For the recursive functions, you should first write the corresponding recurrence relation. Then solve the recurrence relation to come up with the asymptotic bound.

$\theta(1)$   $\theta(\log n)$   $\theta(n)$   $\theta(n \log n)$   $\theta(2^n)$   $\theta(n \log n^2)$   $\theta(n^2)$   $\theta(n^3)$   $\theta(n!)$  Other? Please specify.

**a)**

```
void func(int n) {
    if(n>1)
    {
        func(n-1);
        Perform n basic operations;
    }//endif
}
```

**b)**

```
void func(int n) {
    if (n > 3)
    {
        func(n/4);
        func(n/4);
        func(n/4);
        func(n/4);
        Perform n basic operations;
    }//endif
}
```

**c)**

```
void func(int n) {
    if (n > 1)
    {
        func(n/2);
        func(n/2);
        Perform 1 basic operation;
    }//endif
}
```

**d)**

```

void func(int n) {
    int i=n;
    while (i>0)
    {
        Perform 1 basic operation;
        i=i/4;

    }//endwhile
}

```

## Question 2)

- a) **(8 points)** Explain how heap data structures are different from binary search trees (BSTs). Provide at least two main differences and explain each.
- b) **(12 points)** Apply the Heap Sort algorithm to sort the following list in ascending (**non-decreasing**) order. In addition to drawing the tree step by step, you should draw the array after each step. Show all your work.

```
int list[]={5, 6, 9, 8, 2, 1}
```

**Question 3) (20 points)** Consider two singly sorted linked lists, L1 and L2, each of which is sorted in ascending (non-decreasing) order. Assume L1 has  $n$  entries and L2 has  $m$  entries, where  $n, m \geq 0$ . Each entry has two components: a key component of type `int` and the usual next link component.

- a) **(15 points)** Write a C++ Merge function to merge two given lists L1 and L2 in-place. That means your code should result in a singly merged list sorted in ascending order without creating a new list. Your Merge function should return a pointer to the head node of the merged list.

Here is an example of how merging would work. Assume the first linked list L1 has 4->35->95 and the other linked list L2 has 1->7->20->35, your code will produce 1->4->7->20->35->35->95 without using extra space.

- b) **(5 points)** Analyze the time complexity of your code in part (a) in the worst-case. Justify your analysis.



Choose any 2 of the 3 problems.

1). Consider  $\Sigma_1 = \{a, b, c\}$ :

- a. (5 pts) State the Pumping Lemma for regular languages.
- b. (5 pts) Is the following language regular or not?

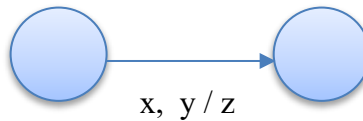
$$L_1 = \{ a^m b^n c^p : m \geq n \geq p \geq 0 \}$$

- c. (10 pts) Prove your answer to question b. You may use Pumping Lemma if needed.

2). Consider the context-free language over  $\Sigma_2 = \{x, y\}$ :

$$L_2 = \{ x^n y^n : n \geq 0 \}$$

- a. (10 pts) Give a context-free grammar for this language  $L_2$ .
- b. (10 pts) Draw the state diagram of a pushdown automaton to recognize this language. You may use the following notation to label your machine's transitions:



(read input symbol  $x$ , stack top is  $y$ , push symbol  $z$ )

3). The SUBSET-SUM Problem takes as input a set  $S$  of integers and an integer  $T$ , the question is whether there exists a non-empty subset  $R$  that sums to  $T$ .

- a. (5 pts) Define polynomial-time reducibility  $A \leq_P B$ .
- b. (5 pts) In general, how do you prove that a given problem  $X$  is NP-Complete? Please list the steps.
- c. (10 pts) Prove that  $3\text{-CNF-SAT} \leq_P \text{SUBSET-SUM}$ . (3-CNF-SAT problem: Given a formula in 3-CNF, is there an assignment of the variables such that the formula evaluates to true? For example,  $(x \vee \neg y \vee \neg z) \wedge (\neg x \vee y \vee z) \wedge (\neg x \vee y \vee \neg z)$  is a 3-CNF formula.)



# SYSTEMS EXAM

Fall 2021

90 minutes

Check which problems you are submitting:

#1

#2

#3

How many pages total? \_\_\_\_\_

Please do not write on the back of any pages.

\_\_\_\_\_  
(print name)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(NetId)

## 1. (20pts Total) Reader / Writer

Consider the Readers/Writers problem where any number of readers can examine a file, but only one writer at a time can update the file. A writer is only allowed access when there are no active readers. Consider the following code as a potential solution. The common variables are the two semaphores wrt and mutex. Both are initially set to 1, and the integer variable readcount is initially set to 0.

```
1: semaphore wrt = 1, mutex = 1;
2: readcount = 0;
3:
4: writer()
5: {
6:     wait(wrt);
7:     //writing is done
8:     signal(wrt);
9: }
10:
11: reader()
12: {
13:     wait(mutex);
14:     readcount++;
15:     if (readcount == 1) wait(wrt);
16:     signal(mutex);
17:     //Do the reading
18:     wait(mutex);
19:     readcount--;
20:     if (readcount == 0) signal(wrt);
21:     signal(mutex);
22: }
```

- a) (4pts) Define the term “**race condition**” in the context of the **reader/writer** problem.
- b) (2pts) Is this a **correct** solution to the reader writer/problem? (yes or no)

For **c)** and **d)** below **state** if the change will:

- i) Have no significant effect
- ii) Is needed for a correct solution
- iii) Makes for an incorrect solution

**For full credit you must explain your answer.**

- c) (7pts) What is the effect of swapping lines **15** and **16**?
- d) (7pts) What is the effect of omitting lines **18** and **21**?

## 2. (20pts Total) Memory Management – dynamic partitioning

Given memory partitions of **500K, 300K, 600K** (in this order), how would each of the algorithms below place the following processes: **212K, 417K, 112K, 300K, 150K** (in this order). Please show your work. Memory can be partitioned

- First-fit (4pts)
- Best-fit (4pts)
- Worst-fit (4pts)
- (2pts) Which algorithm makes the most **efficient** use of memory in this case and why?
- (2pts) What is **internal** fragmentation?
- (2pts) What is **external** fragmentation?
- (2pts) What is one **disadvantage** of both Best and Worst fit?

## 3. (20 pts Total) Critical Section

Consider the proposed solution of the critical section problem listed below. Common variables flag1, and flag 2 are initially false.

```
//Process 1
while (true)
{
    while (flag2); //empty body
    flag1 = true;
    Critical section;
    flag1 = false;
    Noncritical section;
}
```

```
//Process 2
while (true)
{
    flag2 = true;
    while (flag1); //empty body
    Critical section;
    flag2 = false;
    Noncritical section;
}
```

- (5pts) Define the terms **mutual exclusion**, **deadlock**, and **bounded waiting**.

- b) (5pts) Does the code above guarantee **mutual exclusion**? If no, give an execution sequence where mutual exclusion is violated. If yes, give an explanation why all three requirements hold.
- c) (5pts) Could **deadlock** occur? If no, explain why it cannot occur. If yes, give an execution sequence that leads to deadlock.
- d) (5pts) Could **bounded waiting** occur? If no, explain why it cannot occur. If yes, give an execution sequence that allows bounded waiting.